DECISION/JUDGEMENT

TO: Mail Stop 8 Director of the U.S. Patent & Trademark Office P.O. Box 1450

REPORT ON THE FILING OR DETERMINATION OF AN U.S. PATENT & TRADE SAME OFFICE ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450 In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Trademarks: on the following X Patents or filed in the U.S. District Court Northern California DOCKET NO. DATE FILED U.S. DISTRICT COURT CV 08-03827 JCS 08/11/08 450 Golden Gate Ave., San Francisco, CA 94121 DEFENDANT **PLAINTIFF** CHIPMOS TECHNOLOGIES INC TESSERA INC DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK See attached document 5,663,106 2 3 4 5 In the above—entitled case, the following patent(s) have been included: **INCLUDED BY** DATE INCLUDED ☐ Amendment ☐ Answer Cross Bill Other Pleading PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 2 3 4 5 In the above—entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE Susan Imbriani Richard W. Wieking August 12, 2008

1 2	SEYFARTH SHAW LLP Lawrence E. Butler (SBN 111043) Email: lbutler@seyfarth.com	ORIGINAL FILED AUG 1 1 2008
3	560 Mission Street, Suite 3100 San Francisco, California 94105 Telephone: (415) 397-2823	RICHARD W. WIEKING CLERK, U.S. DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA OAKLAND
4	Facsimile: (415) 397-8549	OAKLAND OAKLAND
5 6	Attorneys for Plaintiffs CHIPMOS TECHNOLOGIES INC., CHIPM (BERMUDA) LTD. and CHIPMOS U.S.A., I	
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8	UNITED STATES DISTRICT COURT	
9	IN AND FOR THE NORTHERN DISTRICT OF CALIFORNIA	
10		C08-03827 Jcs
11	CHIPMOS TECHNOLOGIES, INC.,) Case No.
12.	CHIPMOS TECHNOLOGIES (BERMUDA)) COMPLAINT FOR DECLARATORY
13	Plaintiffs,) JUDGMENT OF NON) INFRINGEMENT AND INVALIDITY
14	v.) OF U.S. PATENT NO. 5,663,106
15	TESSERA, INC.) DEMAND FOR JURY TRIAL)
16	Defendant.	
17)
18	COMPLAINT FOR DECLARATORY JUDGMENT	
19	Plaintiffs, ChipMOS TECHNOLOGIES Inc., ChipMOS TECHNOLOGIES (Bermuda)	
20	Ltd. and ChipMOS U.S.A., Inc. (collectively "ChipMOS"), for their Complaint against Tessera	
21	Inc. ("Tessera"), herein allege as follows:	
22	NATURE OF CLAIM	
23	1. This action seeks a declaratory judgment from this Court, pursuant to the	
24	Declaratory Judgment Act, 28 U.S.C. § 220, that under the Patent Act of the United States, 35	
25	U.S.C. §101 et seq., ChipMOS has not and does not infringe, either directly, contributorily or by	
26	inducement, U.S. patent no. 5,663,106 ("106 patent"), and that the claims of the '106 patent are	
27	invalid under the Patent Act of the United States, 35 U.S.C. §101 et seq. A copy of the '106	
28	patent is attached hereto as Exhibit A.	
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Complaint for Declaratory Judgment

PARTIES

- 2. ChipMOS TECHNOLOGIES Inc. is a corporation organized and existing under the laws of the Republic of China, having its principal place of business at No. 1 R&D Road 1, Science Based Industrial Park, Hsinchu, Taiwan, Republic of China.
- 3. ChipMOS TECHNOLOGIES (Bermuda) Ltd. is a corporation organized and existing under the laws of Bermuda, having its place of business at 11 F, No. 3, Lane 91, Dongmei Road, Hsinchu, Taiwan, Republic of China.
- ChipMOS U.S.A., Inc. is a corporation organized and existing under the laws California, having its principal place of business at 2890 N 1st Street, San Jose, California 95134.
- 5. On information and belief, Tessera Inc. is a Delaware corporation having its principal place of business in San Jose, California.

JURISDICTION

- 6. This Court has jurisdiction over this matter pursuant to (1) 28 U.S.C. § 2201 and 2202, in that it is an action seeking a declaratory judgment with respect to allegations of patent infringement, that have been asserted by Tessera, and patent validity, under the United States Patent Act, 35 U.S.C. § 101 et. seq., and is based upon an actual controversy between ChipMOS and Tessera regarding the non infringement and invalidity the '106 patent, and (2) 28 U.S.C. §§ 1331 and 1338(a) in that this matter arises under an Act of Congress relating to patents.
- 7. This Court has personal jurisdiction over Tessera in that Tessera: (a) has a principal place of business located within this district; (b) has committed the acts complained of herein in this district; (c) transacts business within this district; and/or (d) has conceded jurisdiction in concurrent litigation pending before Judge Wilken.

VENUE

8. Venue is proper in this Court pursuant to 28 U.S.C. §§ 1391(b) and (c) in that a substantial part of the facts giving rise to the claims asserted herein occurred in this district and this district is also the principal place of business for ChipMOS U.S.A. Inc. Moreover, venue is proper in this Court in that Tessera has conceded proper venue in concurrent litigation pending

FACTUAL BACKGROUND

- 9. On September 2, 1997, the United States Patent Office issued the '106 patent, entitled "Method of Encapsulating Die and Chip Carrier." (See Exhibit A, page 1)
- 10. Upon information and belief, Tessera is the owner, by assignment, of all rights, title and interest in and to the '106 patent.
- 11. Tessera has threatened ChipMOS with legal proceedings for patent infringement by alleging that semiconductor packages assembled by ChipMOS and used, sold, offered for sale or imported into the United States, infringe one or more claims of the '106 patent. Particularly, on or about July 30, 2008, Tessera informed ChipMOS that Tessera intends to move to add the '106 patent to the pending International Trade Commission (ITC) Investigation entitled *In the Matter of Certain Semiconductor Chips with Minimized Chip Package Size and Products Containing Same (IV), ITC Inv. No. 337-TA-64* (the "649 Investigation"), alleging that some of ChipMOS's packaging services and packages assembled by ChipMOS infringe the '106 patent.
- 12. At present, the parties are involved in two separate patent cases: (1) the 649 Investigation pending before the International Trade Commission; and (2) a pending patent infringement case in United States District Court in the Northern District of California, Case No. 05-4063 CW, before the Honorable Claudia Wilken. Each case has multiple Patents in Suit and concern products similar to those products which Tessera currently contends infringe the claims of the '106 patent.
- 13. By reason of the foregoing, Tessera has raised a justiciable controversy and ChipMOS has a reasonable apprehension that Tessera will file an action against ChipMOS for infringement of the '106 patent.

<u>COUNT 1</u> <u>DECLARATION OF NON-INFRINGEMENT</u>

- 14. Plaintiff ChipMOS realleges and repeats the allegations of paragraphs 1-13 as through fully set forth herein.
 - 15. ChipMOS TECHNOLOGIES (Bermuda) Ltd. and ChipMOS U.S.A., Inc. do not

make, use, sell, offer to sell, or import any semiconductor chip assemblies or packages, or assembly services relating to semiconductor packages, anywhere, including in the United States, let alone any semiconductor chip assemblies, packages or assembly services that infringe any claims of the '106 patent.

- 16. ChipMOS TECHNOLOGIES Inc. does not make, use, sell, offer to sell or import any semiconductor chip assemblies or packages that infringe any claim of the '106 patent.
- 17. ChipMOS TECHNOLOGIES Inc. does not sell or offer to sell any semiconductor chip assemblies or packages, anywhere, including in the United States, let alone any semiconductor chip assemblies or packages that infringe any claim of the '106 patent.
- 18. The process used by ChipMOS TECHNOLOGIES Inc. to assemble semiconductor chip assemblies or packages does infringe any claim of the '106 patent
- 19. Semiconductor chip assemblies or packages that are assembled by ChipMOS TECHNOLOGIES Inc., and that are imported by anyone into the United States, do not infringe any claims of the '106 patent.
- 20. ChipMOS therefore seeks a Declaratory Judgment from this Court that ChipMOS TECHNOLOGIES (Bermuda) Ltd., ChipMOS U.S.A., and ChipMOS TECHNOLOGIES Inc. do not directly infringe, contributorily infringe, or induce others to infringe, any claim of the '106 patent.
- 21. Tessera's actions have caused damages to ChipMOS, in an amount to be determined at trial. Tessera's allegations of infringement of the '106 Patent to ChipMOS and/or ChipMOS' customers has caused irreparable injury to ChipMOS, and, unless and until Tessera's actions are enjoined by this Court, ChipMOS will continue to suffer irreparable injury because of Tessera's meritless allegations. ChipMOS has no adequate remedy at law.

COUNT II DECLARATION OF INVALIDITY

- 22. ChipMOS repeats and realleges paragraphs 1 through 21 of this Complaint as if fully set forth herein.
 - 23. The '106 patent, and each claim thereof, is invalid because it does not comply

Complaint for Declaratory Judgment

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infringe any claim of the '106 patent;

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1	g. ordering Tessera to pay ChipMOS the damages that it has incurred as a	
2	result of the acts complained of herein, including, but not limited to, an award to ChipMOS of	
3	their lost profits, sales and reputational harm as a result of the acts complained of herein;	
4	h. ordering Tessera to pay ChipMOS their interest, costs and expenses of this	
5	action, and their reasonable attorneys' fees, as a result of the acts complained of herein, pursuant	
6	to 35 U.S.C. § 285; and	
7	i. awarding ChipMOS any other relief that this Court deems just and fit.	
8	JURY DEMAND	
9	Pursuant to Fed. R. Civ. P. 38(b), ChipMOS TECHNOLOGIES (Bermuda) Ltd.,	
10	ChipMOS U.S.A., Inc. and ChipMOS TECHNOLOGIES, Inc. demand a trial by jury of all	
11	issues triable of right by a jury.	
12	DATED: August 11, 2008 SEYFARTH SHAW LLP	
13	1 000	
14	By flevelate Lawrence E. Butler	
15	Attorneys for Plaintiffs CHIPMOS TECHNOLOGIES INC.,	
16	CHIPMOS TECHNOLOGIES (BERMUDA) LTD. AND CHIPMOS U.S.A., INC.	
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